



Docket No.: 205200US2PCT



ATTORNEYS AT LAW

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COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

RE: Application Serial No.: 09/807,963  
Applicants: Yuji UENOHARA, et al.  
Filing Date: June 1, 2001  
For: SYSTEM FOR EVALUATING PRICE RISK OF  
FINANCIAL DERIVATIVE, DEALING SYSTEM,  
AND RECORDING MEDIUM  
Group Art Unit: 2166  
Examiner:

SIR:

Attached hereto for filing are the following papers:

**SECOND STATUS REQUEST FOR FIRST OFFICE ACTION (in duplicate)**

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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DOC NO.: 205200US2PCT/mms

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

ENTER APPLICATION OF:

Yuji UENOHARA, et al.

SERIAL NO: 09/807,963

GROUP: 2166

FILED: June 1, 2001

EXAMINER:

FOR: SYSTEM FOR EVALUATING PRICE RISK OF FINANCIAL  
DERIVATIVE, DEALING SYSTEM, AND RECORDING MEDIUM

**SECOND REQUEST**

**FIRST OFFICE ACTION STATUS REQUEST**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

The Patent Office is respectfully requested to inform the undersigned of the  
approximate date a first Official Action will be issued on this Application.

A duplicate of this letter is enclosed for your convenience.

Respectfully Submitted,

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